

Torsion of abelian varieties and Lubin-Tate extensions

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Abstract

We show that, for an abelian variety defined over a p -adic field K which has potential good reduction, its torsion subgroup with values in the composite field of K and a certain Lubin-Tate extension over a p -adic field is finite.

1 Introduction

Let p be a prime number and A an abelian variety over a p -adic field K (here, a p -adic field is a finite extension of \mathbb{Q}_p). For an algebraic extension L/K , we denote by $A(L)$ the group of L -rational points of A and also denote by $A(L)_{\text{tor}}$ its torsion subgroup. We are interested in determining whether $A(L)_{\text{tor}}$ is finite or not. The most basic result is given by Mattuck [Ma]; $A(L)_{\text{tor}}$ is finite if L is a finite extension of K . Thus our main interest is the case where L is an infinite algebraic extension of K . For this, Imai's result [Im] is well known. He showed that $A(K(\mu_{p^\infty}))_{\text{tor}}$ is finite if A has potential good reduction, where μ_{p^∞} denotes the group of p -power roots of unity in a fixed separable closure \bar{K} of K . Since the field $K(\mu_{p^\infty})$ is the composite field of K and the Lubin-Tate extension over \mathbb{Q}_p associated with a uniformizer p of \mathbb{Q}_p , we naturally have the following question.

Question. Let A be an abelian variety over a p -adic field K . Let k_π be the Lubin-Tate extension associated with a uniformizer π of a p -adic field k . Then, is $A(Kk_\pi)_{\text{tor}}$ finite?

In the case of Imai's theorem ($k = \mathbb{Q}_p$ and $\pi = p$), the answer of the question is affirmative for potential good reduction cases, that is, the case where A has potential good reduction. However, the question sometimes has a negative answer. For example, if A is a Tate curve over K , $k = \mathbb{Q}_p$ and $\pi = p$, then $A(Kk_\pi)[p^\infty] = A(K(\mu_{p^\infty}))[p^\infty]$ is clearly infinite. We also have an example even for potential good reduction cases as given in Remark 2.10.

The aim of this paper is to give a sufficient condition on k and π so that the question has an affirmative answer for potential good reduction cases. Let k, π and k_π be as above. Let q be the order of the residue field of k . We denote by k_G the Galois closure of k/\mathbb{Q}_p . We put $d_G = [k_G : \mathbb{Q}_p]$ and denote by e_G the ramification index of the extension k_G/k . We fix an embedding $\bar{\mathbb{Q}} \hookrightarrow \bar{\mathbb{Q}}_p$. Our main result is as follows (see Definitions 2.1 and 2.2 for some undefined notion).

Theorem 1.1. *Let A be an abelian variety over a p -adic field K with potential good reduction. If $\text{Nr}_{k/\mathbb{Q}_p}(\pi)$ is not a q -Weil integer of weight sd_G/t for any integers $1 \leq s \leq e_G$ and $1 \leq t \leq sd_G$, then $A(Kk_\pi)_{\text{tor}}$ is finite.*

Applying Theorem 1.1 to the case where $k = \mathbb{Q}_p$ and $\pi = p$, we can recover Imai's theorem. We should note that there is another generalization of Imai's theorem which is given by Kubo and Taguchi [KT]. The main result of *loc. cit.* states that the torsion subgroup of $A(K(K^{1/p^\infty}))$ is

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finite, where A is an abelian variety over K with potential good reduction and $K(K^{1/p^\infty})$ is the extension field of K by adjoining all p -power roots of all elements of K .

For the proof of the above theorem, the essential difficulty appears in the finiteness of the p -power torsion part $A(Kk_\pi)[p^\infty]$ of $A(Kk_\pi)_{\text{tors}}$. For this, we proceed our arguments in more general settings. We study not only abelian varieties but also (general) proper smooth varieties.

Theorem 1.2. *Let X be a proper smooth variety over a p -adic field K with potential good reduction. Let V be a $\text{Gal}(\bar{K}/K)$ -stable subquotient of $H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Q}_p(r))$ with $i \neq 2r$. Assume that $V^{\text{Gal}(\bar{K}/L)} \neq 0$ for some finite extension L/Kk_π . Then $\text{Nr}_{k/\mathbb{Q}_p}(\pi)$ is a q -Weil number of weight $-(i-2r)/h$ for some non-zero $h \in [-i+r, r] \cap \left(\bigcup_{s \in \mathbb{Z}, 1 \leq s \leq e_G} (1/sd_G)\mathbb{Z}\right)$. Moreover, $q^r \text{Nr}_{k/\mathbb{Q}_p}(\pi)^{-h}$ is an algebraic integer.*

Applying Theorem 1.2 to the case where $k = \mathbb{Q}_p$, $\pi = p$ and i is odd, we obtain [CSW, Corollary 1.6]. (Note that *loc. cit.* studies the vanishing of not only $H^0(\text{Gal}(\bar{K}/L), V)$ (as our result) but also $H^j(\text{Gal}(\bar{K}/L), V)$ for all j .) The assumption $i \neq 2r$ in Theorem 1.2 is essential as explained in the Introduction of [KT]. The key ingredients for our proof are the theory of locally algebraic representations (cf. [Se2]) and some “weight arguments” of eigenvalues of Frobenius on various objects. For weight arguments, we use p -adic Hodge theory related with Lubin-Tate characters and results on weights of a Frobenius operator on crystalline cohomologies (cf. [CLS], [KM], [Na]).

We hope our results can be useful for future studies in Iwasawa theory, for example, control theorems of Selmer groups for abelian varieties over certain p -adic extensions of number fields. In fact, arguments of [KT, Section 6] seem to be familiar with our results.

Notation : In this paper, we fix algebraic closures $\bar{\mathbb{Q}}$ and $\bar{\mathbb{Q}}_p$ of \mathbb{Q} and \mathbb{Q}_p , respectively, and we fix an embedding $\mathbb{Q} \hookrightarrow \mathbb{Q}_p$. If F is a p -adic field, we denote by G_F and U_F the absolute Galois group $\text{Gal}(\bar{\mathbb{Q}}_p/F)$ of F and the unit group of the integer ring of F , respectively. We also denote by F^{ur} and I_F the maximal unramified extension of F in $\bar{\mathbb{Q}}_p$ and the inertia subgroup $\text{Gal}(\bar{\mathbb{Q}}_p/F^{\text{ur}})$ of G_F , respectively. We set $\Gamma_F := \text{Hom}_{\mathbb{Q}_p}(F, \bar{\mathbb{Q}}_p)$. If F'/F is a finite extension, we denote by $f_{F'/F}$ the residual extension degree of F'/F , that is, the extension degree of the residue fields corresponding to F'/F . We put $f_F = f_{F/\mathbb{Q}_p}$. Finally, any p -adic representation of G_F in this paper is of finite dimension.

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2 Proofs of main theorems

Our goal is to prove results in the Introduction. We often use p -adic Hodge theory. For the basic notion of this theory, it is helpful for the reader to refer [Fo1] and [Fo2]. In this paper, we normalize the Hodge-Tate weight so that the Hodge-Tate weight of $\mathbb{Q}_p(1)$ is one.

Definition 2.1. Let $q_0 > 1$ be an integer. A q_0 -Weil number (resp. q_0 -Weil integer) of weight w is an algebraic number (resp. algebraic integer) α such that $|\iota(\alpha)| = q_0^{w/2}$ for all embeddings $\iota: \mathbb{Q}(\alpha) \hookrightarrow \mathbb{C}$.

Definition 2.2. Let F be a p -adic field with residual extension degree $f = f_F$ and F_0/\mathbb{Q}_p the maximal unramified subextension of F/\mathbb{Q}_p . We denote by $\varphi_{F_0}: F_0 \rightarrow F_0$ the arithmetic Frobenius of F_0 , that is, the (unique) lift of p -th power map on the residue field of F_0 .

(1) Let D be a φ -module over F_0 , that is, a finite dimensional F_0 -vector space with φ_{F_0} -semilinear map $\varphi: D \rightarrow D$. Then $\varphi^f: D \rightarrow D$ is a F_0 -linear map. We call $\det(T - \varphi^f \mid D)$ the *characteristic polynomial of D* .

(2) For a \mathbb{Q}_p -representation U of G_F , we set $D_{\text{cris}}^F(U) := (B_{\text{cris}} \otimes_{\mathbb{Q}_p} U)^{G_F}$ and $D_{\text{st}}^F(U) := (B_{\text{st}} \otimes_{\mathbb{Q}_p} U)^{G_F}$, which are filtered φ -modules over F . Here, B_{cris} and B_{st} are usual p -adic period rings. Note that we have $D_{\text{cris}}^F(U) = D_{\text{st}}^F(U)$ if U is crystalline.

(3) Let S be a set of rational numbers. Let U be a potentially semi-stable \mathbb{Q}_p -representation of G_F . Suppose that $U|_{G_{F'}}$ is semi-stable for a finite extension F' of F with residue field $\mathbb{F}_{q'}$. We say that U has *Weil weights in S* if any root of the characteristic polynomial of $D_{\text{st}}^{F'}(U)$ is a q' -Weil number of weight w for some $w \in S$. (Note that this definition does not depend on the choice of F' .)

Let K and k be finite extensions of \mathbb{Q}_p . Let q be the order of the residue field of k , π a uniformizer of k and k_π the Lubin-Tate extension of k associated with π . The following theorem is a key to the proof of our main results.

Theorem 2.3. *Let S be a subset of $\mathbb{Q} \setminus \{0\}$. Let V be a semi-stable \mathbb{Q}_p -representation of G_K with Hodge-Tate weights in $[h_1, h_2]$. Assume that V has Weil weights in S and $V^{\text{Gal}(\bar{K}/L)} \neq 0$ for some finite extension L/Kk_π . Then*

(1) $\text{Nr}_{k/\mathbb{Q}_p}(\pi)$ is a q -Weil number of weight $-w/h$ for some $w \in S$ and some non-zero $h \in [h_1, h_2] \cap \left(\bigcup_{s \in \mathbb{Z}, 1 \leq s \leq e_G} (1/sd_G)\mathbb{Z} \right)$.

(2) If the coefficients of the characteristic polynomial of $D_{\text{st}}^K(V(-r))$ are algebraic integers for some integer r , then we can choose h in (1) so that $q^r \text{Nr}_{k/\mathbb{Q}_p}(\pi)^{-h}$ is an algebraic integer.

2.1 Proof of Theorem 2.3

In this section, we prove Theorem 2.3. We begin with some lemmas.

Lemma 2.4. *Let $(n_\sigma)_{\sigma \in \Gamma_K}$ be a family of integers. If there exists an open subgroup U of U_K with the property that $\prod_{\sigma \in \Gamma_K} \sigma(x)^{n_\sigma} = 1$ for any $x \in U$, then we have $n_\sigma = 0$ for any $\sigma \in \Gamma_K$.*

Proof. Replacing U by a finite index subgroup, we may assume that the p -adic logarithm map is defined on U . Then we have $\sum_{\sigma \in \Gamma_K} n_\sigma \sigma(\log x) = 0$ for any $x \in U$ by assumption. Since $\log U$ is an open ideal of the ring of integers of K , we obtain $\sum_{\sigma \in \Gamma_K} n_\sigma \sigma(y) = 0$ for any $y \in K$. Although the desired fact $n_\sigma = 0$ for any $\sigma \in \Gamma_K$ follows from Dedekind's theorem [Bo, §6, no. 2, Corollaire 2] immediately, we also give a direct proof for this. Take any $\alpha \in K$ such that $K = \mathbb{Q}_p(\alpha)$ and let $\Gamma_K = \{\sigma_1 = \text{id}, \sigma_2, \dots, \sigma_c\}$ where $c := [K : \mathbb{Q}_p]$. Then we have $(n_{\sigma_1}, n_{\sigma_2}, \dots, n_{\sigma_c})X = \mathbf{0}$ where X is the $c \times c$ matrix with (i, j) -th component $\sigma_i(\alpha)^{j-1}$. Since $\det X = \prod_{j>i} (\sigma_j(\alpha) - \sigma_i(\alpha)) \neq 0$, we obtain $n_{\sigma_1} = n_{\sigma_2} = \dots = n_{\sigma_c} = 0$. \square

We denote by $\chi_\pi: G_k \rightarrow k^\times$ the Lubin-Tate character associated with π . If we regard χ_π as a continuous character $k^\times \rightarrow k^\times$ by the local Artin map with arithmetic normalization, then χ_π is characterized by the property that $\chi_\pi(\pi) = 1$ and $\chi_\pi(u) = u^{-1}$ for any $u \in U_k$.

Lemma 2.5. *Let E be a p -adic field and V an E -representation of G_K . Assume that k/\mathbb{Q}_p is Galois, V is Hodge-Tate and the G_{Kk_π} -action on V factors through a finite quotient. Then, there exist finite extensions K'/K and E'/E with $K', E' \supset k$ such that any Jordan-Hölder factor of $(V \otimes_E E')|_{G_{K'}}$ is of the form $E'(\prod_{\sigma \in \Gamma_k} \sigma^{-1} \circ \chi_\pi^{r_\sigma})$ for some $r_\sigma \in \mathbb{Z}$. Moreover, r_σ is a Hodge-Tate weight of V .*

Proof. Replacing K by a finite extension, we may assume that G_{Kk_π} acts trivially on V and K is a finite Galois extension of k . Since the G_K -action on V factors through the abelian group $\text{Gal}(Kk_\pi/K)$, it follows from Schur's lemma that, for a finite extension E'/E of sufficiently large degree, any Jordan-Hölder factor W of $V \otimes_E E'$ is of dimension 1. Our goal is to show that W is of the required form. We may assume $E' = E \supset K$.

Let $\rho: G_K \rightarrow GL_E(W) \simeq E^\times$ be the continuous homomorphism given by the G_K -action on W . Let \tilde{E} be the Galois closure of E/\mathbb{Q}_p and take any finite extension K''/K which contains \tilde{E} . Since W is Hodge-Tate, it follows from [Se2, Chapter III, A.5, Theorem 2] that there exists

an open subgroup I of $I_{K''}$ such that $\rho = \prod_{\sigma \in \Gamma_E} \sigma^{-1} \circ \chi_{\sigma E}^{n_\sigma}$ on I for some integer n_σ . Here, $\chi_{\sigma E}: G_{\sigma E} \rightarrow U_{\sigma E}$ is the Lubin-Tate character associated with σE (it depends on the choice of a uniformizer of σE , but its restriction to the inertia subgroup does not). Put $\tilde{\rho} = \prod_{\sigma \in \Gamma_E} \sigma^{-1} \circ \chi_{\sigma E}^{n_\sigma}$, considered as a character of $G_{K''}$. Replacing K'' by a finite extension, we may assume the following:

- K''/\mathbb{Q}_p is Galois, $\text{Gal}(k_\pi/(k_\pi \cap K''))$ is torsion free and $\rho = \tilde{\rho}$ on $I_{K''}$.

Since $\rho|_{G_{Kk_\pi}}$ is trivial, we have that $\tilde{\rho}$ is trivial on $I_{K''} \cap G_{Kk_\pi} = G_{(K'')^{\text{ur}}k_\pi}$. Hence, putting $N' = \text{Gal}((K'')^{\text{ur}}k_\pi/(K'')^{\text{ur}})$, we may regard $\tilde{\rho}|_{I_{K''}}$ as a representation of N' . Put $N = \text{Gal}(k^{\text{ur}}k_\pi/k^{\text{ur}})$. Then N' is canonically isomorphic to a torsion free finite index subgroup of $N \simeq U_k$, and thus we regard N' as a subgroup of N .

Now we claim that $\tilde{\rho}|_{I_{K''}}$, regarded as a continuous character $N' \rightarrow \tilde{E}^\times$, extends to a continuous character $\hat{\rho}: N \rightarrow \overline{\mathbb{Q}_p}^\times$. It follows from the theory of elementary divisors that we may regard $N = N_{\text{tor}} \oplus (\oplus_{i=1}^d \mathbb{Z}_p) \supset \{0\} \oplus (\oplus_{i=1}^d p^{m_i} \mathbb{Z}_p) = N'$ with some integer $m_i \geq 0$. Here, N_{tor} is the torsion subgroup of N and $d := [k : \mathbb{Q}_p]$. Hence it suffices to show that any continuous character $p^m \mathbb{Z}_p \rightarrow \overline{\mathbb{Q}_p}^\times$ with $m > 0$ extends to $\mathbb{Z}_p \rightarrow \overline{\mathbb{Q}_p}^\times$, but this is clear.

By local class field theory, we may regard $\tilde{\rho}|_{I_{K''}}$ and $\hat{\rho}$ as characters of $U_{K''}$ and U_k , respectively. It follows from the construction of $\hat{\rho}$ that we have $\tilde{\rho}(x) = \hat{\rho}(\text{Nr}_{K''/k}(x))$ for $x \in U_{K''}$. In particular, we have

$$\tilde{\rho}(x) = \tilde{\rho}(\tau x) \quad (2.1)$$

for $x \in U_{K''}$ and $\tau \in \text{Gal}(K''/k)$. On the other hand, by definition of $\tilde{\rho}$ and the condition that K''/\mathbb{Q}_p is Galois, we have

$$\tilde{\rho}(x) = \prod_{\sigma \in \Gamma_E} \sigma^{-1} \text{Nr}_{K''/\sigma E}(x^{-1})^{n_\sigma} = \prod_{\tilde{\sigma} \in \Gamma_{K''}} \tilde{\sigma}^{-1}(x^{-1})^{n_{\tilde{\sigma}}} \quad (2.2)$$

for $x \in U_{K''}$ where $n_{\tilde{\sigma}} := n_\sigma$ if $\tilde{\sigma}|_E = \sigma$.

We claim that $n_{\tilde{\sigma}} = n_{\tilde{\sigma}'}$ if $\tilde{\sigma}|_k = \tilde{\sigma}'|_k$. By (2.1) and (2.2), we have

$$\prod_{\tilde{\sigma} \in \Gamma_{K''}} \tilde{\sigma}^{-1}(x^{-1})^{n_{\tilde{\sigma}}} = \prod_{\tilde{\sigma} \in \Gamma_{K''}} \tilde{\sigma}^{-1}(x^{-1})^{n_{\tilde{\sigma}'}} \quad (2.3)$$

for $x \in U_{K''}$ and $\tau \in \text{Gal}(K''/k)$. Choosing a lift $\hat{\sigma} \in \Gamma_{K''}$ for each element of $\text{Gal}(k/\mathbb{Q}_p)$, we have a decomposition $\Gamma_{K''} = \bigcup_{\hat{\sigma}} \hat{\sigma} \text{Gal}(K''/k)$. Since k/\mathbb{Q}_p is Galois, we see that $\text{Gal}(K''/k)$ acts on $\hat{\sigma} \text{Gal}(K''/k)$ stably and this action is transitive. By Lemma 2.4, we know that the family $(n_{\tilde{\sigma}})_{\tilde{\sigma} \in \Gamma_{K''}}$ is determined uniquely by the restriction of $\prod_{\sigma \in \Gamma_{K''}} (\tilde{\sigma}^{-1})^{n_{\tilde{\sigma}}}$ to any open subgroup of $U_{K''}$. Hence the equation (2.3) gives $n_{\tilde{\sigma}} = n_{\tilde{\sigma}'}$ if $\tilde{\sigma}|_k = \tilde{\sigma}'|_k$ as desired.

For any $\sigma \in \Gamma_k$, we define $r_\sigma := n_{\tilde{\sigma}}$ for a lift $\tilde{\sigma} \in \Gamma_{K''}$ of σ , which is independent of the choice of $\tilde{\sigma}$ by the claim just above. Then we see $\tilde{\rho}(x) = \prod_{\tilde{\sigma} \in \Gamma_{K''}} \tilde{\sigma}^{-1}(x^{-1})^{n_{\tilde{\sigma}}} = \prod_{\sigma \in \Gamma_k} \sigma^{-1} \text{Nr}_{K''/k}(x^{-1})^{r_\sigma}$ for $x \in U_{K''}$. This implies

$$\tilde{\rho} = \prod_{\sigma \in \Gamma_k} \sigma^{-1} \circ \chi_\pi^{r_\sigma}$$

on $I_{K''}$. Now we define $\psi: G_K \rightarrow E^\times$ by $\psi := \rho \cdot (\prod_{\sigma \in \Gamma_k} \sigma^{-1} \circ \chi_\pi^{r_\sigma})^{-1}$. Then ψ is trivial on $I_{K''}$ since $\rho = \tilde{\rho} = \prod_{\sigma \in \Gamma_k} \sigma^{-1} \circ \chi_\pi^{r_\sigma}$ on $I_{K''}$. Furthermore, ψ is trivial on G_{Kk_π} since χ_π and ρ are trivial on G_{Kk_π} . Therefore, putting $K' = (K'')^{\text{ur}} \cap Kk_\pi$, then K'/K is a finite extension and ψ is trivial on $G_{K'}$.

Finally, we note that r_σ is a Hodge-Tate weight of V by [Se2, Chapter III, A.5, Theorem 2]. This is the end of the proof. \square

Lemma 2.6. *Let E be a p -adic field and V an E -representation of G_K . Assume that k/\mathbb{Q}_p is Galois, V is potentially semi-stable with Hodge-Tate weights in $[h_1, h_2]$ and the G_{Kk_π} -action on V factors through a finite quotient. Then, there exists a finite extension K'/Kk which satisfies the*

following property: $V|_{G_{K'}}$ is semi-stable and, for any root α of the characteristic polynomial of $D_{\text{st}}^{K'}(V)$, we have

$$\alpha = a^{f_{K'/k}}, \quad a = \prod_{\tau \in \Gamma_k} \tau(\pi)^{-n_\tau}$$

for some integers $(n_\tau)_{\tau \in \Gamma_k}$ such that $dh_1 \leq \sum_{\tau \in \Gamma_k} n_\tau \leq dh_2$. Here, $d := [k : \mathbb{Q}_p]$.

Proof. By Lemma 2.5, there exist finite extensions K'/K and E'/E with $E', K' \supset k$ which satisfy the following:

– $V|_{G_{K'}}$ is semi-stable and any Jordan-Hölder factor W of $(V \otimes_E E')|_{G_{K'}}$ is of the form $E'(\prod_{\sigma \in \Gamma_k} \sigma^{-1} \circ \chi_\pi^{r_\sigma})$ for some $r_\sigma \in [h_1, h_2]$. In particular, W is crystalline.

Replacing E by a finite extension, we may assume $E' = E$. Now we take a root α of the characteristic polynomial of $D_{\text{st}}^{K'}(V)$, and choose W so that α is a root of the the characteristic polynomial of $D_{\text{cris}}^{K'}(W)$.

To study α , we first consider the characteristic polynomial of $D_{\text{cris}}^{K'}(E(\sigma^{-1} \circ \chi_\pi^{r_\sigma}))$ for $\sigma \in \Gamma_k$. We note that we have an isomorphism $k(\sigma^{-1} \circ \chi_\pi^{r_\sigma})^{\text{ss}} \simeq k(\chi_\pi^{r_\sigma})^{\text{ss}}$ of $\mathbb{Q}_p[G_{K'}]$ -modules (here, “ss” stands for the semi-simplification of $\mathbb{Q}_p[G_{K'}]$ -modules). In fact, for any $g \in G_{K'}$, we have

$$\begin{aligned} \text{Tr}_{\mathbb{Q}_p}(g | k(\sigma^{-1} \circ \chi_\pi^{r_\sigma})) &= \text{Tr}_{k/\mathbb{Q}_p}(\text{Tr}_k(g | k(\sigma^{-1} \circ \chi_\pi^{r_\sigma}))) = \text{Tr}_{k/\mathbb{Q}_p}(\sigma^{-1} \chi_\pi^{r_\sigma}(g)) \\ &= \text{Tr}_{k/\mathbb{Q}_p}(\chi_\pi^{r_\sigma}(g)) = \text{Tr}_{k/\mathbb{Q}_p}(\text{Tr}_k(g | k(\chi_\pi^{r_\sigma}))) = \text{Tr}_{\mathbb{Q}_p}(g | k(\chi_\pi^{r_\sigma})). \end{aligned}$$

(Here, for a representation U of a group G over a field F and $g \in G$, we denote by $\text{Tr}_F(g | U)$ the trace of the g -action on the F -vector space U .) Therefore, we have

$$\det(T - \varphi^{f_{K'}} | D_{\text{cris}}^{K'}(E(\sigma^{-1} \circ \chi_\pi^{r_\sigma}))) = \det(T - \varphi^{f_{K'}} | D_{\text{cris}}^{K'}(k(\chi_\pi^{r_\sigma})))^{[E:k]}. \quad (2.4)$$

To study the roots of (2.4), we recall the explicit description of $D_{\text{cris}}^k(k(\chi_\pi^{-1}))$ (cf. [Con, Proposition B.4]. See also [Col, Proposition 9.10]). Let k_0 be the maximal unramified subextension of k/\mathbb{Q}_p . By definition, we have $f_k = [k_0 : \mathbb{Q}_p]$ and $q = p^{f_k}$. Then $D_{\text{cris}}^k(k(\chi_\pi^{-1}))$ is a free $(k_0 \otimes_{\mathbb{Q}_p} k)$ -module of rank one, and we can take a basis \mathbf{e} of $D_{\text{cris}}^k(k(\chi_\pi^{-1}))$ such that $\varphi^{f_k}(\mathbf{e}) = (1 \otimes \pi)\mathbf{e}$. We claim

$$\det(T - \varphi^{f_k} | D_{\text{cris}}^k(k(\chi_\pi^{-1}))) = \prod_{0 \leq i \leq f_k - 1} E^{\varphi^i}(T) \quad (2.5)$$

where $E(T) = T^e + \sum_{j=0}^{e-1} a_j T^j \in k_0[T]$ is the minimal polynomial of π over k_0 and $E^{\varphi^i}(T) = T^e + \sum_{j=0}^{e-1} \varphi^i(a_j) T^j$. To show this, it suffices to show that the characteristic polynomial of the homomorphism $1 \otimes \pi: k_0 \otimes_{\mathbb{Q}_p} k \rightarrow k_0 \otimes_{\mathbb{Q}_p} k$ of k_0 -modules coincides with the right hand side of (2.5). (Here, the k_0 -action on $k_0 \otimes_{\mathbb{Q}_p} k$ is given by $a.(x \otimes y) := ax \otimes y$ for $a, x \in k_0$ and $y \in k$.) We consider a natural isomorphism

$$k_0 \otimes_{\mathbb{Q}_p} k_0 \simeq \bigoplus_{j \in \mathbb{Z}/f_k \mathbb{Z}} k_{0,j}, \quad a \otimes b \mapsto (a\varphi^j(b))_j$$

where $k_{0,j} = k_0$ for all j . For $0 \leq s \leq f_k - 1$, let $e_s \in k_0 \otimes_{\mathbb{Q}_p} k_0$ be the element which corresponds to $(\delta_{s,j})_j \in \bigoplus_{j \in \mathbb{Z}/f_k \mathbb{Z}} k_{0,j}$ where $\delta_{s,j}$ is the Kronecker delta. Then $\{e_j(1 \otimes \pi^i) \mid 0 \leq j \leq f_k - 1, 0 \leq i \leq e - 1\}$ is a k_0 -basis of $k_0 \otimes_{\mathbb{Q}_p} k$. We see that the matrix of $1 \otimes \pi: k_0 \otimes_{\mathbb{Q}_p} k \rightarrow k_0 \otimes_{\mathbb{Q}_p} k$ associated with the ordered basis $\langle e_0, \dots, e_{f_k-1}, e_0(1 \otimes \pi), \dots, e_{f_k-1}(1 \otimes \pi), \dots, e_0(1 \otimes \pi^{e-1}), \dots, e_{f_k-1}(1 \otimes \pi^{e-1}) \rangle$ is

$$\begin{pmatrix} O & O & \cdots & -A_0 \\ I_{f_k} & O & \cdots & -A_1 \\ \vdots & \ddots & & \vdots \\ O & \cdots & I_{f_k} & -A_{e-1} \end{pmatrix}$$

where I_{f_k} is the $f_k \times f_k$ identity matrix and A_i is the $f_k \times f_k$ diagonal matrix with diagonal entries $a_i, \varphi(a_i), \dots, \varphi^{f_k-1}(a_i)$. Now it is an easy exercise to check that the characteristic polynomial of this matrix is $\prod_{0 \leq i \leq f_k-1} E^{\varphi^i}(T)$ as desired.

Now we note that roots of the characteristic polynomial of $D_{\text{cris}}^{K'}(k(\chi_\pi))$ are the $f_{K'/k}$ -th power of those of $D_{\text{cris}}^k(k(\chi_\pi))$ since the latter describes the action of φ^{f_k} but the former describes that of $\varphi^{f_{K'}} = \varphi^{f_{K'}/k} \varphi^{f_k}$. Furthermore, we also note that all the roots of the right hand side of (2.5) is a conjugate of π over \mathbb{Q}_p . Hence, it follows from the claim (2.5) that any root of the characteristic polynomial of $D_{\text{cris}}^{K'}(k(\chi_\pi))$ is of the form $\tau(\pi)^{-f_{K'}/k}$ for some $\tau \in \Gamma_k$. On the other hand, for crystalline characters $\psi_1, \psi_2: G_{K'} \rightarrow k^\times$, we have a surjection $D_{\text{cris}}^{K'}(k(\psi_1)) \otimes_{K'_0} D_{\text{cris}}^{K'}(k(\psi_2)) \rightarrow D_{\text{cris}}^{K'}(k(\psi_1\psi_2))$ induced from the natural map $k(\psi_1) \otimes_{\mathbb{Q}_p} k(\psi_2) \rightarrow k(\psi_1) \otimes_k k(\psi_2) = k(\psi_1\psi_2)$. Here, K'_0 is the maximal unramified subextension of K'/\mathbb{Q}_p . In particular, roots of the characteristic polynomial of $D_{\text{cris}}^{K'}(k(\psi_1\psi_2))$ is a product of those of $D_{\text{cris}}^{K'}(k(\psi_1))$ and $D_{\text{cris}}^{K'}(k(\psi_2))$. By this fact, we know that any root of the characteristic polynomial of $D_{\text{cris}}^{K'}(k(\chi_\pi^{r_\sigma}))$ is of the form $\prod_{\tau \in \Gamma_k} \tau(\pi)^{-f_{K'}/k} n_\tau^\sigma$ with $\sum_{\tau \in \Gamma_k} n_\tau^\sigma = r_\sigma$. By (2.4), the same holds for the roots of the characteristic polynomial of $D_{\text{cris}}^{K'}(E(\sigma^{-1} \circ \chi_\pi^{r_\sigma}))$. Therefore, since α is a root of the characteristic polynomial of $D_{\text{cris}}^{K'}(W) = D_{\text{cris}}^{K'}(E(\prod_{\sigma \in \Gamma_k} \sigma^{-1} \circ \chi_\pi^{r_\sigma}))$, we have

$$\alpha = \prod_{\tau \in \Gamma_k} \tau(\pi)^{-f_{K'}/k} n_\tau$$

with $\sum_{\tau \in \Gamma_k} n_\tau = \sum_{\sigma \in \Gamma_k} r_\sigma =: R$. (Here, $n_\tau = \sum_{\sigma \in \Gamma_k} n_\tau^\sigma$.) We note that R is an integer such that $dh_1 \leq R \leq dh_2$ since we have $r_\sigma \in [h_1, h_2]$. This completes the proof. \square

We need the following two standard lemmas which describe inclusion properties of two Lubin-Tate extensions.

Lemma 2.7. *Let k_2/k_1 be a finite extension of p -adic fields with residual extension degree f . For $i = 1, 2$, let π_i be a uniformizer of k_i and $k_{i, \pi_i}/k_i$ the Lubin-Tate extension associated with π_i .*

- (1) *We have $\text{Nr}_{k_2/k_1}(\pi_2) = \pi_1^f$ if and only if $k_{1, \pi_1} \subset k_{2, \pi_2}$.*
- (2) *$\pi_1^{-f} \text{Nr}_{k_2/k_1}(\pi_2)$ is a root of unity if and only if there exists a finite extension $M/k_{2, \pi_2}$ such that $k_{1, \pi_1} \subset M$. If this is the case, we can take M to be the degree $\sharp\mu_\infty(k_1)$ subextension in $k_2^{\text{ab}}/k_{2, \pi_2}$. Here, $\mu_\infty(k_1)$ is the set of roots of unity in k_1 .*

Proof. For $i = 1, 2$, we denote by k_i^{ur} and k_i^{ab} the maximal unramified extension of k_i and the maximal abelian extension of k_i , respectively. We recall that the Artin map $\text{Art}_{k_i}: k_i^\times \rightarrow \text{Gal}(k_i^{\text{ab}}/k_i)$ associated with k_i satisfies $\text{Art}_{k_i}(\pi_i)|_{k_{i, \pi_i}} = \text{id}$ and $\text{Art}_{k_i}(\pi_i)|_{k_i^{\text{ur}}} = \text{Frob}_{k_i}$, where Frob_{k_i} is the geometric Frobenius of k_i .

- (1) Suppose $\text{Nr}_{k_2/k_1}(\pi_2) = \pi_1^f$. For any lift $\sigma \in G_{k_2}$ of $\text{Art}_{k_2}(\pi_2)$, we have

$$\sigma|_{k_{1, \pi_1}} = (\text{Art}_{k_2}(\pi_2)|_{k_1^{\text{ab}}})|_{k_{1, \pi_1}} = \text{Art}_{k_1}(\text{Nr}_{k_2/k_1}(\pi_2))|_{k_{1, \pi_1}} = \text{Art}_{k_1}(\pi_1^f)|_{k_{1, \pi_1}} = \text{id}.$$

Since the intersection of the fixed fields (in $\overline{\mathbb{Q}_p}$) of such σ 's is k_{2, π_2} , we obtain the desired result.

Conversely, suppose $k_{1, \pi_1} \subset k_{2, \pi_2}$. Then we have

$$\text{Art}_{k_1}(\text{Nr}_{k_2/k_1}(\pi_2))|_{k_{1, \pi_1}} = \text{Art}_{k_2}(\pi_2)|_{k_{1, \pi_1}} = (\text{Art}_{k_2}(\pi_2)|_{k_{2, \pi_2}})|_{k_{1, \pi_1}} = \text{id}$$

and

$$\text{Art}_{k_1}(\text{Nr}_{k_2/k_1}(\pi_2))|_{k_1^{\text{ur}}} = \text{Art}_{k_2}(\pi_2)|_{k_1^{\text{ur}}} = (\text{Art}_{k_2}(\pi_2)|_{k_2^{\text{ur}}})|_{k_1^{\text{ur}}} = \text{Frob}_{k_2}|_{k_1^{\text{ur}}} = \text{Frob}_{k_1}^f.$$

Thus we have $\text{Art}_{k_1}(\text{Nr}_{k_2/k_1}(\pi_2)) = \text{Art}_{k_1}(\pi_1^f)$, which shows $\text{Nr}_{k_2/k_1}(\pi_2) = \pi_1^f$.

- (2) A very similar proof to that of (1) proceeds. Suppose that $\pi_1^{-f} \text{Nr}_{k_2/k_1}(\pi_2)$ is a root of unity. If we denote by h the order of the set of roots of unity in k_1 , then we have $\text{Nr}_{k_2/k_1}(\pi_2^h) = \pi_1^{fh}$. We see that any lift $\sigma \in G_{k_2}$ of $\text{Art}_{k_2}(\pi_2^h)$ fixes k_{1, π_1} . This implies that k_{1, π_1} is contained in a degree h subextension in $k_2^{\text{ab}}/k_{2, \pi_2}$.

Suppose that there exists a finite extension $M/k_{2, \pi_2}$ such that $k_{1, \pi_1} \subset M$. Then $M' := k_{1, \pi_1} k_{2, \pi_2}$ is a finite subextension in $k_2^{\text{ab}}/k_{2, \pi_2}$. Put $h = [M' : k_{2, \pi_2}]$. Since $\text{Art}_{k_2}(\pi_2^h)|_{M'}$ is the

identity map, we have $\text{Art}_{k_1}(\text{Nr}_{k_2/k_1}(\pi_2^h))|_{k_1, \pi_1} = \text{id}$ and $\text{Art}_{k_1}(\text{Nr}_{k_2/k_1}(\pi_2^h))|_{k_1^{\text{ur}}} = \text{Frob}_{k_1}^{fh}$. Thus we have $\text{Art}_{k_1}(\text{Nr}_{k_2/k_1}(\pi_2^h)) = \text{Art}_{k_1}(\pi_1^{fh})$, which shows $\text{Nr}_{k_2/k_1}(\pi_2^h) = \pi_1^{fh}$. \square

We recall that k_G is the Galois closure of k/\mathbb{Q}_p and $d_G := [k_G : \mathbb{Q}_p]$.

Lemma 2.8. *There exist a finite unramified extension k'/k_G and a uniformizer π' of k' which satisfy the following.*

- $\text{Nr}_{k'/k}(\pi') = \pi^{f_{k'/k}}$,
- $k_\pi \subset k'_{\pi'}$, where $k'_{\pi'}$ is the Lubin-Tate extension of k' associated with π' ,
- the extension k'/\mathbb{Q}_p is Galois, and
- $[k' : \mathbb{Q}_p] = sd_G$ for some integer $1 \leq s \leq e_G$.

Proof. Let $k_{G,0}/k$ be the maximal unramified subextension in k_G/k . By [Se1, Chapter V, §6, Proposition 10], there exists an unramified extension \tilde{k}_0 over $k_{G,0}$ of degree at most $[k_G : k_{G,0}] (= e_G)$ such that $\pi = \text{Nr}_{k'/\tilde{k}_0}(\pi')$ for some $\pi' \in (k')^\times$, where $k' := k_G \tilde{k}_0$. Since k_G/\mathbb{Q}_p is Galois and k'/k_G is unramified, we see that k'/\mathbb{Q}_p is Galois. We also see that π' is a uniformizer of k' . Since $k_G \cap \tilde{k}_0 = k_{G,0}$, we have $[k' : k_G] = [\tilde{k}_0 : k_{G,0}] \leq e_G$. Thus we obtain $[k' : \mathbb{Q}_p] = [k' : k_G][k_G : \mathbb{Q}_p] = sd_G$ for some integer $1 \leq s \leq e_G$. Furthermore, we have $\text{Nr}_{k'/k}(\pi') = \text{Nr}_{\tilde{k}_0/k}(\text{Nr}_{k'/\tilde{k}_0}(\pi')) = \text{Nr}_{\tilde{k}_0/k}(\pi) = \pi^{f_{k'/k}}$. By Lemma 2.7, we have $k_\pi \subset k'_{\pi'}$. \square

Now we are ready to prove Theorem 2.3.

Proof of Theorem 2.3. First we consider the case where k/\mathbb{Q}_p is Galois. Replacing L by a finite extension, we may assume that L/K is Galois. Then V^{G_L} is a G_K -stable submodule of V . By Lemma 2.6, there exists a finite extension K'/K such that any root α of the characteristic polynomial of $D_{\text{st}}^{K'}(V^{G_L})$ is of the form

$$\alpha = a^{f_{K'/k}}, \quad a = \prod_{\tau \in \Gamma_k} \tau(\pi)^{-n_\tau}$$

with some integers $(n_\tau)_{\tau \in \Gamma_k}$ such that $dh_1 \leq \sum_{\tau \in \Gamma_k} n_\tau \leq dh_2$. Here, $d := [k : \mathbb{Q}_p]$. Put $R := \sum_{\tau \in \Gamma_k} n_\tau$. Then we have

$$\prod_{\sigma \in \Gamma_k} \sigma(a) = \prod_{\tau \in \Gamma_k} \prod_{\sigma \in \Gamma_k} \sigma \tau(\pi)^{-n_\tau} = \prod_{\tau \in \Gamma_k} \text{Nr}_{k/\mathbb{Q}_p}(\pi)^{-n_\tau} = \text{Nr}_{k/\mathbb{Q}_p}(\pi)^{-R}. \quad (2.6)$$

Since V^{G_L} has Weil weights in S , we see that $\sigma(a)$ is a q -Weil number of weight $w \in S$ for any $\sigma \in \Gamma_k$. Thus it follows from the condition $w \neq 0$ and the equation (2.6) that we have $R \neq 0$. Therefore, we obtain that $\text{Nr}_{k/\mathbb{Q}_p}(\pi)$ is a q -Weil number of weight $-w/h$ where $h := R/d \in [h_1, h_2] \cap (1/d)\mathbb{Z}$. This shows Theorem 2.3 (1). Now Theorem 2.3 (2) follows from the fact that we have $(q^r \text{Nr}_{k/\mathbb{Q}_p}(\pi)^{-h})^d = \text{Nr}_{k/\mathbb{Q}_p}(q^r a)$ and $(q^r a)^{f_{K'/k}} = q_{K'}^r \alpha$ is a root of the characteristic polynomial of $D_{\text{st}}^{K'}(V(-r))$ (here, $q_{K'}$ is the order of the residue field of K'). Thus we obtained a proof of Theorem 2.3 in the case where k/\mathbb{Q}_p is Galois.

Next we consider the case where k/\mathbb{Q}_p is not necessarily Galois. Take a finite extension k'/k_G and a uniformizer π' of k' as in Lemma 2.8. Put $d' = [k' : \mathbb{Q}_p]$. We have $d' = sd_G$ for some $1 \leq s \leq e_G$. Let q' be the order of the residue field of k' . Let L' be the composite field of L and $k'_{\pi'}$, which is a finite extension of $K k'_{\pi'}$. Assume that V^{G_L} is not zero. Since $V^{G_{L'}}$ is also not zero and the extension k'/\mathbb{Q}_p is Galois, we know that $\text{Nr}_{k'/\mathbb{Q}_p}(\pi')$ is a q' -Weil number of weight $-w/h$ for some $w \in S$ and $h \in [h_1, h_2] \cap (1/d')\mathbb{Z}$. By the equation $\text{Nr}_{k'/k}(\pi') = \pi^{f_{k'/k}}$, we have $\text{Nr}_{k'/\mathbb{Q}_p}(\pi') = (\text{Nr}_{k/\mathbb{Q}_p}(\pi))^{f_{k'/k}}$, and hence $\text{Nr}_{k/\mathbb{Q}_p}(\pi)$ is a q -Weil number of weight $-w/h$. Furthermore, we have $q'^r \text{Nr}_{k'/\mathbb{Q}_p}(\pi')^{-h} = (q^r \text{Nr}_{k/\mathbb{Q}_p}(\pi)^{-h})^{f_{k'/k}}$. This completes the proof of Theorem 2.3. \square

2.2 Proofs of Theorems 1.1 and 1.2

We prove Theorems 1.1 and 1.2 in the Introduction. We start with a proof of Theorem 1.2.

Proof of Theorem 1.2. Let the notation be as in the theorem. Replacing K by a finite extension, we may assume that X has good reduction over K . Then we know that V is crystalline with Hodge-Tate weights in $[-i+r, r]$ (cf. [Fa1], [Fa2]). We claim that V has Weil weight $i-2r$. Let K_0 be the maximal unramified subextension of K/\mathbb{Q}_p . Put $q_K = p^{f_K}$, the order of the residue field of K . Let Y be the special fiber of a proper smooth model of X over the integer ring of K . By the crystalline conjecture shown by Faltings [Fa2] (cf. [Ni], [Tsu]), we have an isomorphism $D_{\text{cris}}^K(H_{\text{ét}}^i(X_{\overline{K}}, \mathbb{Q}_p)) \simeq K_0 \otimes_{W(\mathbb{F}_{q_K})} H_{\text{cris}}^i(Y/W(\mathbb{F}_{q_K}))$ of φ -modules over K_0 . It follows from Corollary 1.3 of [CLS] (cf. [KM, Theorem 1] and [Na, Remark 2.2.4 (4)]) that the characteristic polynomial of $K_0 \otimes_{W(\mathbb{F}_{q_K})} H_{\text{cris}}^i(Y/W(\mathbb{F}_{q_K}))$ coincides with $\text{char}_X(T) := \det(T - \text{Frob}_{\mathbb{F}_{q_K}} | H_{\text{ét}}^i(X_{\overline{K}}, \mathbb{Q}_\ell))$ for any prime $\ell \neq p$. Hence we obtain the fact that the characteristic polynomial $\text{char}_{V(-r)}(T)$ of $D_{\text{cris}}^K(V(-r))$ divides $\text{char}_X(T)$. Thus it follows from the Weil Conjecture (cf. [De1], [De2]) that $\text{char}_{V(-r)}(T)$ has algebraic integer coefficients and its roots are q_K -Weil numbers of weight i . In particular, V has Weil weight $i-2r$ as desired. Now the result follows by Theorem 2.3. \square

Finally, we prove Theorem 1.1. Let A be an abelian variety over a p -adic field K and let ℓ be any prime number. We denote by $T_\ell(A)$ the ℓ -adic Tate module of A and set $V_\ell(A) := T_\ell(A) \otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell$. It is well known that we have G_K -equivariant isomorphisms $V_\ell(A) \simeq H_{\text{ét}}^1(A_{\overline{K}}, \mathbb{Q}_\ell)^\vee$ and $V_\ell(A)/T_\ell(A) \simeq A(\overline{K})[\ell^\infty]$. Here, $A(\overline{K})[\ell^\infty]$ is the ℓ -power torsion subgroup of $A(\overline{K})$. Furthermore, for an algebraic extension L/K , the ℓ -power torsion subgroup $A(L)[\ell^\infty]$ of $A(L)$ is finite if and only if $V_\ell(A)^{G_L} = 0$. Below we denote by L any finite extension of Kk_π . Assume that A has potential good reduction and $N_{k/\mathbb{Q}_p}(\pi)$ satisfies the condition in the statement of Theorem 1.1. For the proof of Theorem 1.1, it is enough to show that both the p -part and the prime-to- p part of $A(L)_{\text{tor}}$ are finite.

Finiteness of the p -part of $A(L)_{\text{tor}}$: If we put $W = V_p(A)^{G_L}$, then it is enough to show $W = 0$. Replacing L by a finite extension, we may suppose that the extension L/K is Galois. Then the G_K -action on $V_p(A)$ preserves W , and thus the dual representation W^\vee of W is a quotient representation of $H_{\text{ét}}^1(A_{\overline{K}}, \mathbb{Q}_p)$. By Theorem 1.2, we have $W^\vee = (W^\vee)^{G_L} = 0$, which implies $W = 0$ as desired.

Finiteness of the prime-to- p part of $A(L)_{\text{tor}}$: The finiteness of the prime-to- p part of $A(L)_{\text{tor}}$ follows from the following general property.

Proposition 2.9. *Let A be an abelian variety over K with potential good reduction. Let M be an algebraic extension of K with finite residue field. Then the prime-to- p part of $A(M)_{\text{tor}}$ is finite.*

Proof. Replacing K and M by finite extensions, we may assume that A has good reduction over K . It follows from the criterion of Néron-Ogg-Shafarevich [ST, Theorem 1] that the prime-to- p part of $A(M)_{\text{tor}}$ has values in the maximal unramified subextension of M/K , which is a finite extension of K by assumption on M . Then the result follows from the main theorem of [Ma]. \square

Therefore, we obtained the proof of Theorem 1.1.

Remark 2.10. (This is pointed out by Yuichiro Taguchi.) We can construct an example which gives a negative answer to the question given in the Introduction for potential good reduction case. Let E be an elliptic curve over \mathbb{Q} with complex multiplication by the full ring of integers \mathcal{O}_F of an imaginary quadratic field F . Let $\psi = \psi_{E/F}$ be the Grössencharacter associated with E . Let p be a prime number such that E has good ordinary reduction and \mathfrak{p} a prime ideal of \mathcal{O}_F above p . If we set $\pi := \psi(\mathfrak{p})$, then π is a generator of \mathfrak{p} and we have $p = \pi\bar{\pi}$. Here, $\bar{\pi}$ is the complex conjugate of π . Note that π is a p -Weil number of weight 1. Let $K = k$ be the completion of F at \mathfrak{p} . By definition, we have $K = k = \mathbb{Q}_p$ and π is a uniformizer of them. If we identify a decomposition group of G_F at \mathfrak{p} with G_K , then the action of G_K on the set of π -power torsion points of $E(\overline{K})$ is

given by the Lubin-Tate character χ_π associated with π . In particular, we see that $E(Kk_\pi)[p^\infty]$ is infinite.

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